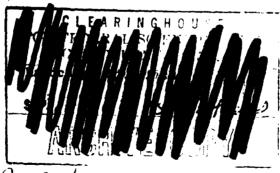
Operations Research and Economics Division

SENSITIVITY ANALYSIS OF CIVIL DEFENSE SYSTEMS AND COMPONENTS

FINAL REPORT VOLUME II

R-OU-157

A SENSITIVITY ANALYSIS OF SELECTED PARAMETERS
BASED ON 8 SMSA'S



Prepared for

Office of Civil Defense
United States Department of the Army
under
Office of Civil Defense Contract No. OCD-PS-64-56
OCD Subtask 4113E
RTI Project OU-157

20050228011

AD 624702

Best Available Copy

RESEARCH TRIANGLE INSTITUTE . DURHAM, NORTH CARO

THE RESEARCH TRIANGLE INSTITUTE

Operations Research and Economics Division

OCD Review Notice

This report has been reviewed in the Office of Civil Defense and approved for publication. Approval does not signify that the contents necessarily reflect the views and policies of the Office of Civil Defense.

Distribution of this document is unlimited.

RESEARCH TRIANGLE INSTITUTE Durham, North Carolina

FINAL REPORT VOLUME II
R-OU-157

A Sensitivity Analysis of Selected Parameters Based on 8 SMSA's

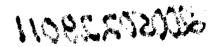
by

H. Rodney Sink

October 1, 1965

Prepared for

Office of Civil Defense
United States Department of the Army
under
Office of Civil Defense Contract No. OCD-PS-64-56
OCD Subtask 4113E
RTI Project OU-157



FINAL REPORT VOLUME II

R-0U-157

A Sensitivity Analysis of Selected Parameters Based on 8 SMSA's

Prepared for

Office of Civil Defense
United States Department of the Army
under
Office of Civil Defense Contract No. OCD-PS-64-56
OCD Subtask 4113E
RTI Project OU-157

by

· H. Rodney Sink

RESEARCH TRIANGLE INSTITUTE
Operations Research and Economics Division
Post Office Box 490
Durham, North Caroline

Approved by:

Edgar A. Parsons, Director

R. S. Titchen, Deputy Director

1 October 1965

FOREWORD

This is Volume II of three separately bound volumes in which are reported the research completed under the general terms of the Office of Civil Defense Subtask Number 4113E, "Sensitivity Analysis of Civil Defense Systems and Components."

The author acknowledges the valuable assistance of Mr. Philip McMullan in completing the research and of Mr. McMullan and Mr. Robert Brooks in preparation of the final report.

ABSTRACT

In order to perform a sensitivity analysis of selected parameters of interest in Civil Defense systems analysis, probable casualties are estimated for 8 SMSA's over a range of fallout environments and shelter utilization patterns. The selected parameters are: SMSA population, population density, and ratio of shelter spaces to population; fallout arrival time and reference intensity; and restrictions on movement of people to shelter, leading to varying patterns of shelter utilization. The SMSA's are selected by "judgment sampling" and range in population from 74,000 to 408,000. The fallout environments used range from a reference intensity of 600 r/hr and 7 hours time of arrival to a reference intensity of 30,000 r/hr and 1 hour time of arrival. The movement-to-shelter restrictions are: (1) movement restricted to the Standard Location (SL) of residence, (2) movement restricted to within two miles of the SL of residence, and (3) unrestricted movement to shelter anywhere within the SMSA. Also, (4) the transportation algorithm is used to determine the optimal (minimum casualty) allocation of people to shelter for each time of arrival and reference intensity combination. This allocation serves as a benchmark of ideality against which to measure other patterns of shelter utilization. Casualties are computed for each of the four movement patterns over the range of attack environments. It is concluded that efficient shelter utilization is very important when shelter spaces exceed population; inefficient utilization can lead to a large number of avoidable casualties. Movement through fallout for a short time in order to reach a better shelter theoretically is warranted in many circumstances.

TABLE OF CONTENTS

																											Page
FOR	EWORD	• • •							•		•	• •	•	•	•					•	•			•	•	•	. iii
ABS	TRACT			•	• . •	•	•		.•	•		••	•	•	•	•		•				•		•	•	•	v
TAB	LE OF	CONTE	NTS							•				•	•	•		•		•	•	•		•	•	•	vii
I.TS	T OF T	ABLES					_			_		_						_	_	_							ix
	T OF F			•	• •	•			•	•		•	Ī	•	•		•	·	•	•	•	•	•	•		•	xi
				•	• •	•	• 1	•	•	•	• •	•	•	•	•	• (•	•	•	•	•	•	•	•	•	•	
I.	INTR	ODUCT	LON		• •	•	•	• •	•	•	• •	•	•	•	•	• •	•	•	•	•	•	•	•	•	•.	•	1.
II.	DEFI	NING 7	THE	MOD:	EL	•	• •	•	•	• .	• •	٠	٠	•	٠	• •	•	•	•	•	•	•	• (•	•	•	1
III.	DATA	FOR E	EVAL	UAT	ION	•	• •	•	•	•		٠	•	•	•		•	٠	•	•		•	• •			• .	3
	Α.	Selec	tio	n o	f L	oca	1 A	rea	as	(S)	MSA	's	<u>)</u>	•	•		•	•	•	•	•	•	• •			•	3
	В.	Selec	tio	n o	f Fa	all	out	Et	nvi	ro	nme	nts	<u>.</u>		•			•	•	•	•	•		•		•	5
IV.	SMSA	ANALY	SIS									•	•						•	•		•		,		•	11
	Α.	Casua	ılty	Cor	mput	tati	ion	ns a	and	S	nel	ter	. t	Jti	liz	at	io	n A	.58	um	pt:	i 01	ıs.				11
	В.	Analy											_				_										11
v.		ANALY								•	•	•	•	•	•	•	•	•	•	•	•		•	• •			41
٧.					• •			•	•	• ·	•	•	•	•	• •	•	•	•	•	•	• •	•	•	•	•		41
	Α.	The R Facto		100	nsn i	LP !	set.	wee	•	111	ne •	in.	· ·	•	out	<u>а</u>	na •	PT	<u>• </u>	ec		<u>en</u>	. •	•		,	41
	в.	Relat	ions	ship	Э Ве	etwe	en	Re	ef e	rer	ice	In	te	ns	ity	·	Pro	ote	ct	ioı	ı I	ac	to	r,			
		and M	axir	num	ERI	2 .	•	•	•	•	•	•	•	•	• •	•	•	•	•	• •		•	•	•	•		44
VI.	CONCI	USION	S Al	ND R	ECC	DAMO	END	ATI	ON	s.	•	•	•	•	• •	•	•	•	• ,		•	•	•	•	•		44
	Α.	Discu	ssic	<u>on</u> .	•		•	•	•		•	•	•	•,		•	•	•	•			•	•	•			44
'	в.	Major	Cor	ıclu	sio	ns	. •	•	•		•	•		• (• •			•	•	•	•		47
	c.	Secon	dary	, Co	ncl	usi	on	<u>s</u>	•		•						•		• •			•		•	•		48
	D.	Recom	mend	lati	ons		_	_						• •											·		· · 49
Drrr	RENCES					•																					51
	ndix A	1					÷																	٠,	-		A-1
	ndix B	- 1																	-		٠.						B-1
whhe	HATY D	- <u>rine</u>	FC	Tudi	arr	UIL	-0	311	CIL	- = [U.	<u>. 60</u>		C110		•	٠	•	•		•	•	•	٠	•		D-1

LIST OF TABLES

<u>Table</u>		Page
1	Generalized Matrix for ERD "Costs"	3
11	Residential Population and Fallout Shelter Characteristics for Eight SMSA's	6
III	Fallout Environments Used in 8 SMSA Analyses	10
IV	Expected Casualties for Each Fallout Environment in Orlando, Florida	13
V	Expected Casualties for Each Fallout Environment in Tucson, Arizona	18
VI	Expected Casualties for Each Fallout Environment in Charleston, South Carolina	22
VII	Expected Casualties for Each Fallout Environment in Mobile, Alabama	26
VIII	Expected Casualties for Each Fallout Environment in Macon, Georgia	30
İX	Expected Casualties for Each Fallout Environment in Pittsfield, Massachusetts	34
x	Expected Casualties for Each Fallout Environment in Waterloo, Iowa	36
XI	Expected Casualties for Each Fallout Environment in Richmond, Virginia	41
XII	Summary of Casualty Estimates (% of Population) for Reference Intensity of 2000 r/hr and Time of Arrival in 1.5 Hours	48

LIST OF FIGURES

Figure		<u>Page</u>
. 1	Distribution of Population Density	5
2	Distribution of Shelter/Population Ratio	5
3	Joint Distribution of Population Density and Shelter/Population Ratio of 200 SMSA's	7
4	Cumulative Distribution Function for Reference Intensity	8
.	Cumulative Distribution Function for Time of Arrival of Fallout	9
6	Cumulative Distribution of Population and Shelter in Orlando, Florida	12
. 7	Percentage of Casualties for Each Fallout Environment in Orlando, Florida	14
8	Percentage of Population for Each PF Category in Orlando, Florida	14
9	Cumulative Distribution of Population and Shelter in Tucson, Arizona	15
10	Percentage of Population Sheltered for Each PF Category in Tucson, Arizona	16
11	Percentage of Casualties for Each Fallout Environment in Tucson, Arizona	17
12	Cumulative Distribution of Population and Shelter in Charleston, South Carolina	19
13	Percentage of Population Sheltered for Each PF Category in Charleston, South Carolina	20
14	Percentage of Casualties for Each Fallout Environment in Charleston, South Carolina	21
15	Cumulative Distribution of Population and Shelter in Mobile, Alabama	23
16	Percentage of Population Sheltered for Each PF Category in Mobile, Alabama	24

LIST OF FIGURES (CONTINUED)

Figure		Page
17	Fercentage of Casualties for Each Fallout Environment in Mobile, Alabama	25
18	Cumulative Distribution of Population and Shelter in Macon, Georgia	27
19	Percentage of Population Sheltered for Each PF Category in Macon, Georgia	28
20	Percentage of Casualties for Each Fallout Environment in Macon, Georgia	29
21	Cumulative Distribution of Population and Shelter in Pittsfield, Massachusetts	31
22	Percentage of Population Sheltered for Each PF Category in Pittsfield, Massachusetts	32
23	Percentage of Casualti s for Each Fallout Environment in Pittsfield, Massachusetts	33
24	Cumulative Distribution of Population and Shelter in Waterloo, Iowa	35
25	Sensitivity of Casualty Calculations to Movement Restrictions	37
26	Percentage of Population Sheltered for Each PF Category in Waterloo, Iowa	38
27	Percentage of Casualties for Each Fallout Environment in Waterloo, Iowa	39
28	Cumulative Distribution of Population and Shelter in Richmond, Virginia	40
29	Percentage of Population Sheltered for Each PF Category in Richmond, Virginia	42
30	Percentage of Casualties for Each Fallout Environment in Richmond, Virginia	43
31	Trade-Off Between PF and Time in Fallout	45
32	Trade-Off Between PF and Fallout Environment	46
R-1	Tilustration of Finite Difference Formulation	B-1

A Sensitivity Analysis of Selected Parameters Based on 8 SMSA's

I. INTRODUCTION

The purpose of this volume is to analyze the sensitivity of estimates of the effectiveness of fallout shelter systems to changes in movement assumptions and resultant shelter utilization under various fallout environments, shelter/population ratios, and population densities.

Eight Standard Metropolitan Statistical Areas (SMSA's) were chosen representing a range of population densities and shelter/population ratios. Four modes of allocating population to shelters, based on travel restrictions in time or distances, and six types of fallout environment were assumed for each of the SMSA's. Expected casualties were computed for each combination of allocation mode and fallout environment.

The results were then analyzed to answer these and other questions:

- (1) How effective are various patterns of shelter utilization in each of the SMSA's?
- (2) What trade-offs exist between protection factors and movement in fallout?

II. DEFINING THE MODEL

The four types of movement restrictions considered in the analysis are as follows:

- (1) Movement restricted to within each standard location (SL) and no movement in fallout necessary to reach shelter.
- (2) Movement restricted to within an SL or to SL's not more than two miles (distances are rectangular distances--rather than straight line--measured between the centers of SL's); no restriction on speed of movement.
- (3) Movement unrestricted within the SMSA and no restriction on speed of movement.

(4) Movement unrestricted within the SMSA and a constant movement speed of two miles per hour beginning with weapon detonation; movement in fallout permitted; casualties minimized using the transportation algorithm (see below).

Assumptions for all cases were as follows:

- (1) All standard locations in an SMSA have the same fallout environment;
- (2) The fallout intensity increases linearly during the time fallout particles are being deposited;
- (3) Maximum Equivalent Residual Dose (ERD) is calculated by a finite differencing method which examines dose accumulation and recovery from time of arrival through the initial shelter period (see Appendix B);
- (4) Midpoint values of each Protection Factor (PF) category are used in casualty calculations;
- (5) A person experiencing a Maximum ERD of 200r is considered a casualty.

Calculations of casualties for the case with no movement outside the standard location were straightforward. NFSS Phase 1 data [Reference 1] were used for each SL to determine the population and shelter spaces of each PF category, including Category 1. The population was then allocated manually on the basis of utilizing the highest available PF shelter space. Any population in excess of the number of shelter spaces available was considered to have a PF of 2.

In the third type of movement restriction (movement anywhere within the SMSA and no speed restriction), population was allocated to the highest available PF shelter spaces, regardless of their initial locations within an SMSA.

In the case of movement restrictions of two miles, it was necessary to compute the distances between centers of SL's. The rectangular distance was used since it is reasonable that the route to shelters in most cities must follow some right angle street pattern rather than a straight line. The allocation of population to shelters was then done manually, always using all the space with the highest PF within the two-mile movement restriction.

The fourth case of movement restriction, limiting movement speed to two miles per hour, was much more complex. It was necessary to include the possibility of movement in fallout in order to reach a more effective shelter. In order to calculate the movement pattern leading to the minimum number of casualties, it was first necessary to calculate the ERD accumulated in moving from one standard location (S_i) to shelter in another standard location (S_i) of protection factor (k).

When the travel time between standard locations was great enough to require movement in fallout, it was necessary to determine this exposure time and to calculate the dose sustained outside, as well as that sustained while in shelter.

The process of determining the EPD for movement between standard locations under various fallout environments was programmed for the CDC 3600 computer. The generalized matrix that was used for these ERD "costs" is shown below in Table I.

TABLE I

Generalized Matrix for ERD "Costs"

	•					Dest	tinatio	ons	•		,
	s ₁		s ₂	•					s	j	
Origins	PF ₁	PF ₂	PF ₁	PF ₂	••	••	• •	PF ₁	. ••	• •	PFk
s_{l}	s ₁₁₁	s ₁₁₂	s ₁₂₁	s ₁₂₂	••.	• •	• •	s _{1j1}	••	••	Sljk
s_2	s ₂₁₁	s ₂₁₂	s ₂₂₁	s ₂₂₂	••	••	••	s _{2j1}	••	• •	s _{2jk}
• •	••	••							•		
• •	• •	••		S ₁₂₂ is							. o
$\mathtt{s}_{\mathbf{i}}$	s _{ill}	s _{i12}	s _{i21}	s ₁₂₂	••	••	• •	s _{ijl}	• •	• •	S _{ijk}

With such a matrix, and with the assumption that casualties occur when $ERD \geq 200r$, the transportation problem method of linear programming was used to minimize the number of casualties within each SMSA for each fallout environment. The mathematical description of the transportation model is given in Appendix B.

III. DATA FOR EVALUATION

A. Selection of Local Areas (SMSA's)

Eight SMSA's were selected according to two criteria, shelter/population ratio and population density, by a procedure known as judgment sampling [Reference 2]. Data from judgment samples only suggest or indicate conclusions, which is precisely the purpose intended in this analysis.

Prior to selecting the SMSA's, distribution functions of population density and shelter/population ratio were considered (Figures 1 and 2). The distributions represent 200 of the 213 SMSA's listed in the National Location Code [Reference 3].

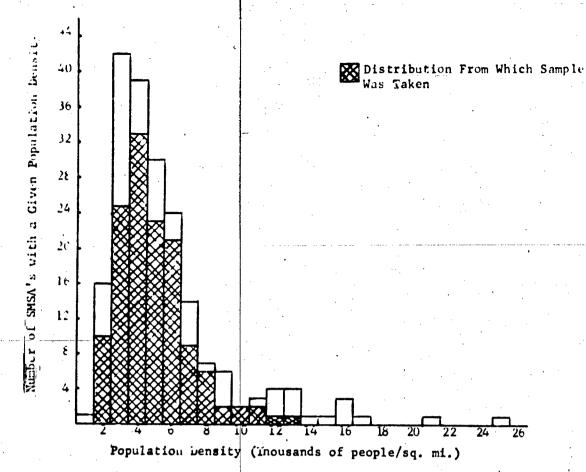


Fig. 1. Distribution of Population Density (200 SMSA's)

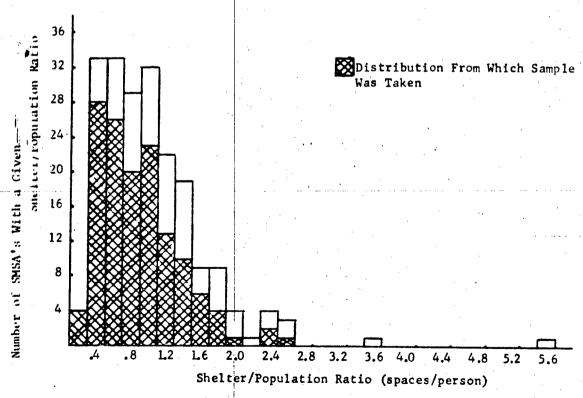


Fig. 2. Distribution of Shelter/Population Ratio (200 SMSA's)

- 4 -

Two constraints were placed on the sampling procedure:

- SMSA's with population exceeding 500,000 were excluded. This constraint
 was dictated by the time required to solve the algorithm used to allocate
 people to shelter.
- Multiple SMSA's (e.g., Greensboro-High Point, N. C.) were excluded. In most cases, these areas require special consideration because of complex movement restrictions.

The shaded portion in Figures 1 and 2 shows the distribution (after elimination of multiple SMSA's and SMSA's with population greater than 500,000) from which the judgment sample was taken. The characteristics of the selected SMSA's are listed in Table II, Residential Population and Fallout Shelter Characteristics for Eight SMSA's.

In order to visualize the characteristics of the selected SMSA's and their relationships, consider the joint distribution of population density and shelter/population ratio as shown in Figure 3, Joint Distribution of Population Density and Shelter/Population Ratio for 200 SMSA's. If we consider the sample to represent all SMSA's lying within the boundaries formed by the line segments connecting each selected SMSA, then 146 of the 200 will be represented. (It should be pointed out, however, that all SMSA's within the boundaries described do not satisfy the population constraint of being less than 500,000; also some multiple SMSA's are included.)

B. Selection of Fallout Environments

One form of available data providing reference intensity (I_0) and associated arrival times for fallout (I_A) for all SMSA's is the RISK war game analysis [Reference 4]. In order to use representative numbers for the fallout environment, data from an OCD study in a form similar to that described in Reference [4] were used to derive distribution functions for both I_0 and I_A (Appendix A). Figures 4, Cumulative Distribution Function for Reference Intensity, and 5, Cumulative Distribution Function for Time of Arrival of Fallout, relate the probability of occurrence at each level of I_0 and I_A , respectively, for the OCD study data. The sample size (N) was 20,000; i.e., 100 attacks on each of 200 SMSA's. The available data were aggregated in such a way that it would be inaccurate to associate a joint probability of occurrence of I_0 and I_A . However, we have assumed a lower limit on the probability of occurrence of a given combination of I_0 and I_A to be the product of their individual probabilities. By assuming complete dependence, we can arrive at some upper limit on the probability of occurrence for the same combination. Thus, we can describe some probability of terval within which the joint probability of I_0 and I_A must lie.

TABLE II

Residential Population and Fallout Shelter Characteristics for Eight SMSA's

SMSA	Resid	Residential Population	Population Density (People/M12)	Shelter Popula- tion Ratio * Categories 1-8	Spaces with at Least 100PF (% of Total Popularion)	Improvable Spaces (% of Total	Average Cost Per Space** Improved
Orlando, Fla.	263	263,540	4170	0.20	5	7	6.50
Tucson, Ariz.	265	265,660	3000	0.24	•	16	2.50
Charleston, S. C.	216	216,382	12930	0.33		•	8.44
Mobile, Ala.	273	273,942	1320	0.48	15		
Macon, Ga.	141	141,249	4650	0.50	20	14	6,75
Pittsfield, Mass.	73	73,839	1420	99*0	32	35	5.57
Waterloo, Iowa	122	122,482	2120	1,10	26	34 3	6.52
Richmond, Va.	408	765,494	5950	1.60	67	17	10.73
* PF 20 to > 1000.							i i i
** Excludes shelter-stocking co	-stock	ing cost	5				

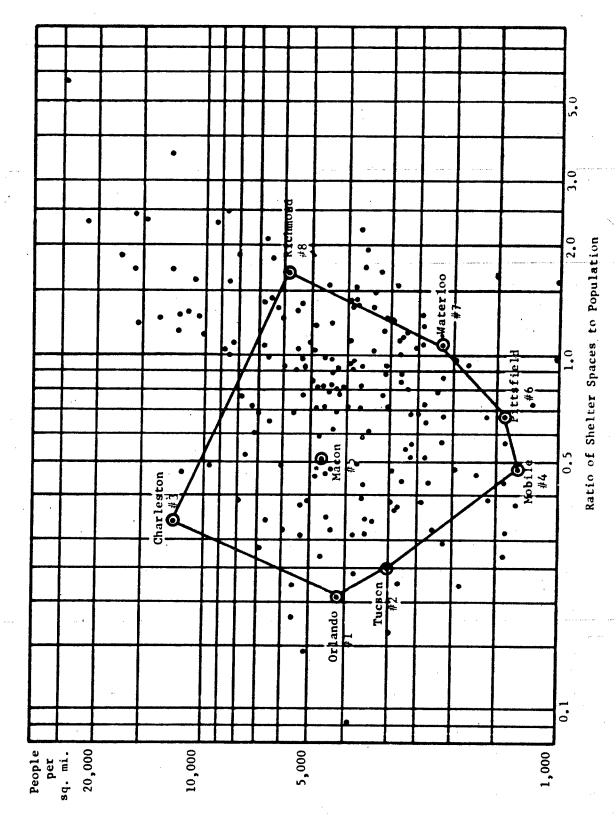


Fig. 3. Joint Distribution of Population Density and Shelter/Population Ratio for 200 SMSA's

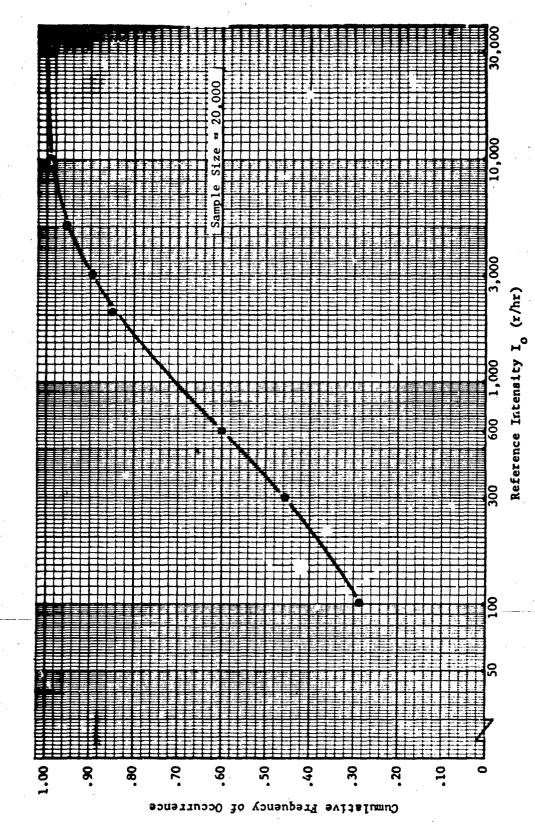
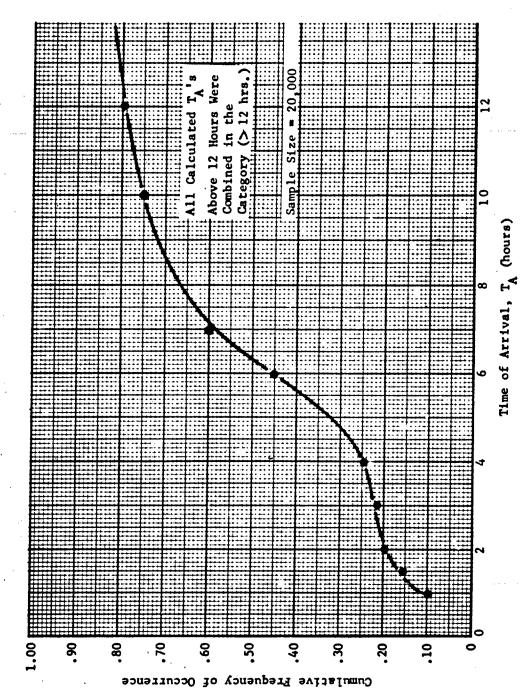


Fig. 4. Cumulative Distribution Function for Reference Intensity



P18. 5. Cumulative Distribution Function for Time of Arrival of Fallout

For example, the fallout environment will be $I_0 \leq 3000$ r/hr and $T_A \geq 1$ hour between 81 percent and 90 percent of all occurrences (see Table III for method of computing).

Six fallout environments (combinations of T_A and T_O) were selected and used to test the effectiveness of various shelter systems (see Table III).

TABLE III

Fallout Environments Jsed in 8 SMSA Analyses

I _o (r/hr)	T _A (hr)	Approxim Cumulative		bability
≤ 600	≥ 5.5	36%*	-	60%**
2000	1.5	72%		85%
3000	1	81%	-	90%
5000	1***	86%	-	95%
10000	1***	89%	•	95%
30000	1***	,90%	-	99.9%

Lower Bound: Since it is generally true that late arrival times correspond to low values of I_0 , it approximately can be said that:

$$P \{T_A \ge t \mid T_o \le i\} \ge P \{T_A \ge t\}$$

so that

$$P \{I_o \leq i, T_A \geq t\} = P \{I_o \leq i\} \cdot P \{T_A \geq t \mid I_o \leq i\} \geq P \{I_o \leq i\} \cdot P \{T_A \geq t\}$$
 for example:

$$P \{I_o \le 600, T_A \ge 5.5\} \ge P \{I_o \le 600\} \cdot P \{T_A \ge 7\} \ge (.60) (.60) \ge .36$$

 $\frac{**}{}$ Upper Bound: Since a probability is always ≤ 1 , it is true that:

$$P \{I_o \leq i, T_A \geq t\} = P \{I_o \leq i\} \cdot P \{T_A \geq t \mid I_o \leq i\} \leq P \{I_o \leq i\} \cdot 1;$$
 and similarly

$$P \{I_o \leq i, T_A \geq t\} \leq 1 \cdot P \{T_A \geq t\}$$

so that

P (I_o
$$\leq$$
 600, T_A \geq 5.5) \leq min [P (I_o \leq 600), P (T_A \geq 7)] \leq .60

*** In some cases P $\{T_{A} \geq t\}$ was not known. In this case, the upper bound

$$P \{I_o \leq i, T_A \geq t\} \leq P \{I_o \leq i\}$$
 should be used.

IV. SMŠA ANALYSIS

A. Casualty Computations and Shelter Utilization Assumptions

The model of SMSA's described in the previous sections was used to calculate the number of casualties in each SMSA at each of the fallout environments described in Table III. (The term "casualty" in this report refers to anyone receiving a Maximum ERD greater than 200r.) Casualties were computed for each of the four movement and shelter allocation schemes and were used to rank their relative effectiveness.

Only one of the four movement-to-shelter procedures allowed for movement in fallout. In each of the four procedures, those persons not sheltered in NFSS spaces (including PF Category 1) were given a PF of 2. Residential basements were not employed in these analyses.

It is recognized that residential basements afford adequate protection in low intensity fallout environments. However, excluding these spaces will only affect the casualty computations in one fallout environment of our analysis; i.e., at reference intensity equal to 600r/hr and time of arrival equal to 5.5 hours, residential basements afford adequate fallout protection. Three SMSA's of the sample have shelter in residential basements for more than 10% of the population--Pittsfield, Waterloo, and Richmond [Reference 5]. Of the three, only Pittsfield has a shelter deficit. Therefore, in the analysis presented here, only in Pittsfield could the total number of casualties be reduced significantly by using residential basement data, and then only in the least severe fallout environment.

B. Analysis of the 8 SMSA's

The following paragraphs present a casualty analysis for each of the 8 SMSA's. They are presented in ascending order of shelter spaces to population ratio. Casualties for the four movement assumptions and eight fallout environments are presented, with and without spaces obtainable in NFSS shelter through added ventilation or shielding. An analysis is made for each SMSA of the effect on casualties of the four movement assumptions.

1. Orlando, Florida

The SMSA has a residential population of 263,540 and a population density of 4170 people per square mile. The population density is slightly less than the average of the 200 SMSA's.

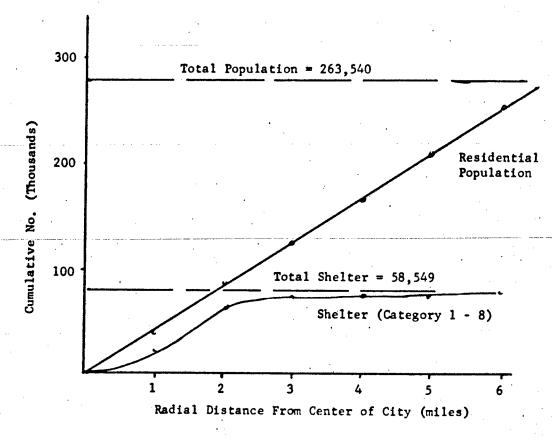


Fig. 6. Cumulative Distribution of Population and Shelter in Orlando, Florida

Approximately 20 percent of the population can be sheltered in spaces located in Phase 1 of the NFSS. Only 5 percent of the population can be sheltered in spaces having a protection factor of at least 100 and minimum volume requirements (500 cubic feet per person with no ventilation or 10 square feet with adequate ventilation).

The plot of the cumulative distribution of population and shelter as a function of radial distance from the city center shown in Figure 6 indicates a shelter deficit throughout the SMSA; further, almost no shelter spaces exist beyond a two-mile radius of the population center. Due to the small number of available shelter spaces, both the allocation restricting movement to two miles and the constant movement speed restriction result in the same number of casualties as that if movement were unrestricted.

Casualty computations are significantly affected by the assumption of movement restricted to within the SL; at least this is true for the less severe fallout environments. The expected number of casualties at each fallout

environment is shown in Table IV. Figure 8, Percentage of Casualties for Each Fallout Environment in Orlando, Florida, shows expected casualties from Table IV as a percentage of the total SMSA's population.

TABLE IV

Expected Casualties for Each Fallout
Environment in Orlando, Florida

Fallout Env	vironment	Expected Casualties (%)							
Reference Intensity (r/hr)	Arrival Time (hr)	Movement Restricted to own SL	Movement Restricted to 2 Mi.	Constant Movement Speed	Movement Unrestricted				
600	5.5	94	78	78	78				
2000	1.5	94	78	78	78				
3000	1	9 6	89	89	89				
5000	1	98	93	93	93				
10000	1	98	98	98	98				
30000	1	99	99	99	. 99				

Data from Phase II of the NFSS survey [Reference 6] points up that approximately 7 percent of the population could be sheltered in improvable spaces. The cost of improvement would be \$91,000, or approximately \$6.50 per space. A graphical representation of the shelter status is presented in Figure 7, Percentage of Population Sheltered for Each PF Category in Orlando, Florida.

It is quite obvious that this area would suffer severely in any fallout environment. Also, only slight advantage would be gained by improving existing spaces. The only solution appears to be an accelerated shelter development program.

2. Tucson, Arizona

Tucson has a residential population of 265,660, about the same as Orlando, Florida. The population density of 3000 people per square mile is below the 200 SMSA average. A look at the relative location of population and shelter indicates much the same posture as Orlando (Figure 9, Cumulative Distribution of Population and Shelter in Tucson, Arizona).

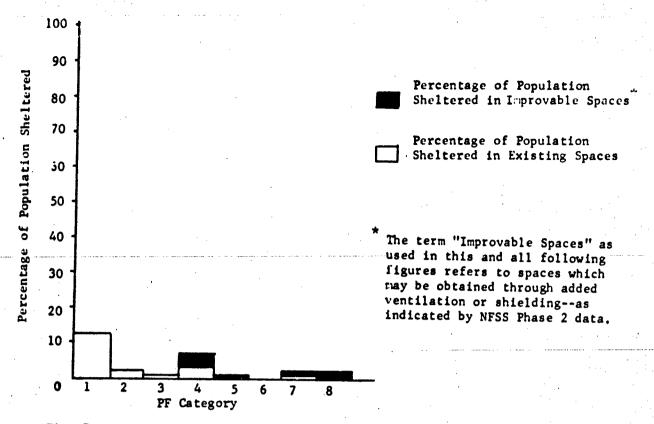
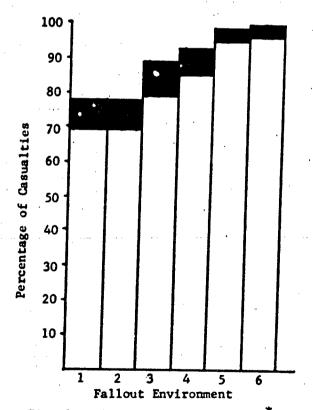


Fig. 7. Percentage of Population Sheltered for Each PF Category in Orlando. Florida (Total Population = 263,540)



	Fallout En	vironment
No.	I (r/hr)	TA (hr)
1	600	5.5
2	2000	1.5
3	3000	1
3 4 5	5000	1
	10000	1
6	30000	1

Casualties Avoided by
Assuming Improvable Spaces
are Improved and Utilized.

Fig. 8. Percentage of Casualties for Each Fallout Environment in Orlando, Florida (Total Population - 263,540)

^{*} Excluding the SL Movement Restriction

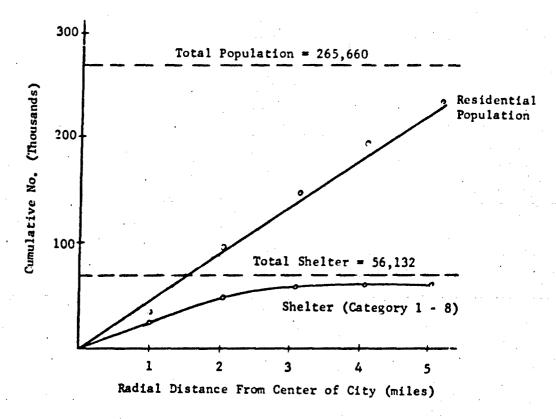
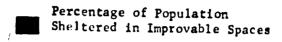


Fig. 9. Cumulative Distribution of Population and Shelter in Tucson, Arizona

According to NFSS Phase 1 data, 24 percent of the population can be sheltered in spaces with protection factors ranging from 20 to 1000. Only a small number, 6 percent of the population, can be sheltered in spaces with $PF \geq 100$ and the required area and volume. However, improving the existing spaces would provide shelter for an additional 16 percent with protection factor of at least 100. The cost of the improvements would be approximately \$522,000, or \$7.50 per space.

Figure 10, Percentage of Population Sheltered for Each PF Category in Tucson, Arizona, shows the shelter status of existing and improvable spaces. Although the fallout shelter status in Tucson is somewhat better than Orlando, the effect of movement alternatives is the same. (See Table V, Expected Casualties for Each Fallout Environment in Tucson, Arizona.) There just aren't enough shelter spaces available, even if improvement alternatives are incorporated and spaces in PF Categories below 4 are accepted.

Figure 11, Percentage of Casualties for Each Fallout Environment in Tucson, Arizona, again illustrates the reduction in casualties expected from assuming improvable spaces are improved and utilized.



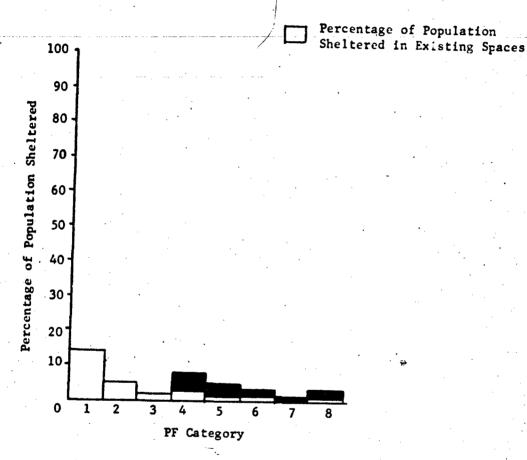
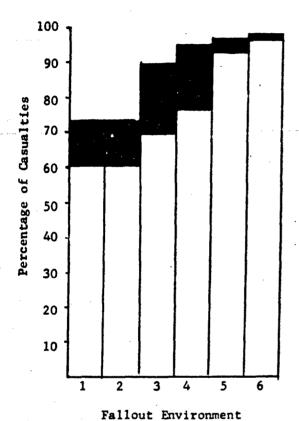


Fig. 10. Percentage of Population Sheltered for Each PF Category in Tucson, Arizona (Total Population = 265,660)



Casualties Avoided by
Assuming Improvable Spaces
are Improved and Utilized.

Ma	Fallout Env	/ironment
NO.	<u>I (r/hr)</u>	TA (UE)
1	600	5.5
2	2000	1.5
3	3000	1
4	5000	1
5	10000	1
6 .	30000	· 1

* Excluding the SL Movement Restriction

Fig. 11. Fercentage of Casualties for Each Fallout Environment in Tucson, Arizona (Total Population = 265,660)

Expected Casualties for Each Fallout
Environment in Tucson, Arizona

Fallout En	vironment	Expected Casualties (%)							
Reference Arrival Intensity Time (r/hr) (hr)		Movement Restricted to own SL	Movement Restricted to 2 Miles	Consta Movemen Speed		Movement Unrestricted			
600	5.5	89	74	. 74		74			
2000	1.5	89	74	74		74			
3000	1	94	90	90		90			
5000	1	97	95	95		95			
10000	1	98	97	97		97			
30000	1 .	99	98 *	98	 	98			

3. Charleston, South Carolina

This SMSA is somewhat atypical in that the population density--12,930 people per square mile--is very large and the shelter population ratio of 0.33 is rather low. The general trend is for high population densities to be associated with a relatively high shelter/population ratio (see individual points in Figure 3). Despite the combination of high population density and low shelter/population ratio, the relative location--with respect to the population center--of the population and shelter is essentially the same as in Orlando and Tucson. The shelter deficit exists throughout the SMSA (Figure 12, Cumulative Distribution of Population and Shelter in Charleston, South Carolina). As might be expected, except for the SL restriction, there is no variation in the number of expected casualties regardless of the method used to allocate people to shelters. The expected casualties are shown in Table VI, Expected Casualties for Each Fallout Environment in Charleston, South Carolina and Figure 14, Percentage of Casualties for Each Fallout Environment in Charleston, South Carolina.

The NFSS indicates that approximately 33 percent of the population can be sheltered in existing spaces (including Category 1). However, as in Orlando and Tucson, the great majority of spaces provide a protection factor of less than 100. Only 7 percent of the population in Charleston can be sheltered in spaces of 100 PF or better. Adding possible improvements would provide

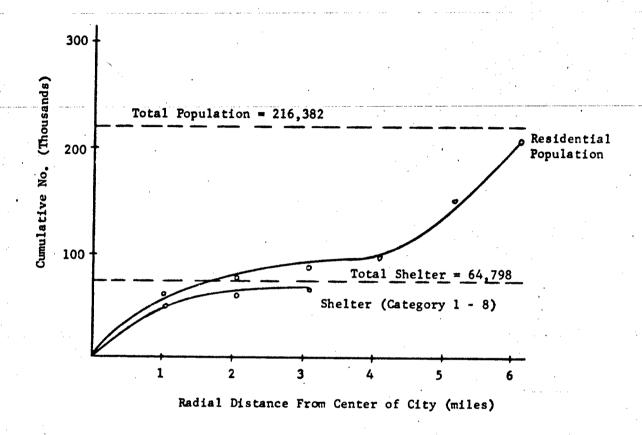


Fig. 12. Cumulative Distribution of Population and Shelter in Charleston, South Carolina

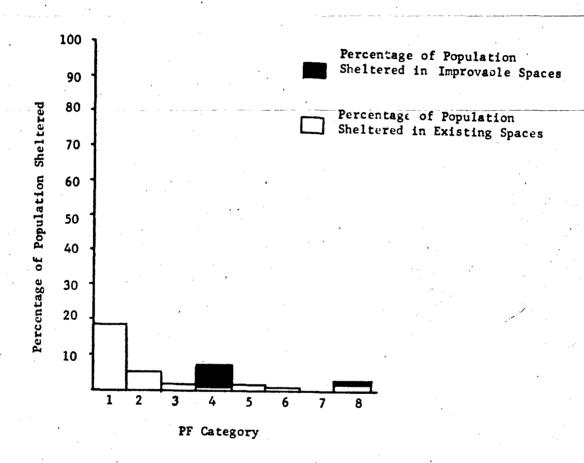
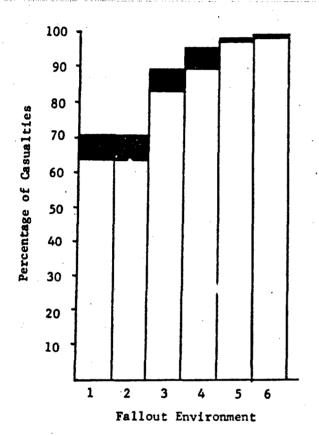


Fig. 13. Percentage of Population Sheltered for Each PF Category in Charleston, South Carolina (Total Population = 216,382)



Casualties Avoided by
Assuming Improvable Spaces
are Improved and Utilized

	Fallout En	vironment
No.	<u>I (r/hr)</u>	TA (hr)
1	600	5.5
2	2000	1.5
3	3000	1
4	5000	1
5	10000	1
6	30000	1

* Excluding the SL Movement Restriction

Fig. 14. Percentage of Casualties for Each Fallout Environment in Charleston, South Carolina (Total Population = 216,382)

TABLE VI

Expected Casualties for Each Fallout
Environment in Charleston, South Carolina

Fallout Environment		Expected Casualties (%)				
Reference Intensity (r/hr)	Arrival Time (hr)	Movement Restricted to own SL	Movement Restricted to 2 Miles	Constant Movement Speed	Movement Unrestricted	
600	5.5	83	70	70	70	
2000	1.5	83	70	70	70	
3000	1	92	89	89	89	
5000	1	97	95	95	95	
10000	1	99	98	98	98	
30000	1	99	99	99	99	

shelter for another 6 percent of the population. The total cost of improvements would be \$130,000 or \$8.44 per space.

4. Mobile, Alabama

Mobile has a residential population of 273,942, which is the second largest of the eight SMSA's being considered. The population density is the lowest at 1320 people per square mile. Although the SMSA has an overall shelter deficit of 54 percent, it is different from the previous three SMSA's in that a shelter surplus does exist within a two-mile radius of the population center (Figure 15, Cumulative Distribution of Population and Shelter in Mobile, Alabama). In fact, the area outside the two-mile radius has shelter for only 8 percent of the population, and 62 percent of the total population is located in the same region. Therefore, about 6 percent of the population must 2 moved "downtown" to the available shelter spaces. The expected numbers of casualties for the various movement restrictions are shown in Table VII, Expected Casualties for Each Fallout Environment in Mobile, Alabama. As can be seen, there is little variation in casualties according to the method of allocating people to shelter, with the exception of the extreme case where the population is restricted to its own standard location.

Using spaces located by the NFSS, 48 percent of the population can be sheltered in spaces with PF ranging from 20 to 1000. (Figure 16, Percentage of Population Sheltered for Each PF Category in Mobile, Alabama). Approximately

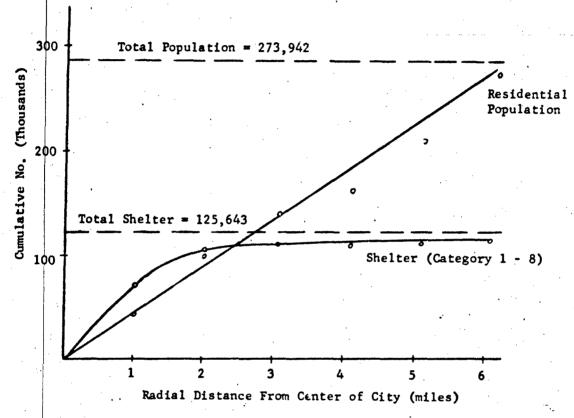


Fig. 15. Cumulative Distribution of Population and Shelter in Mobile, Alabama

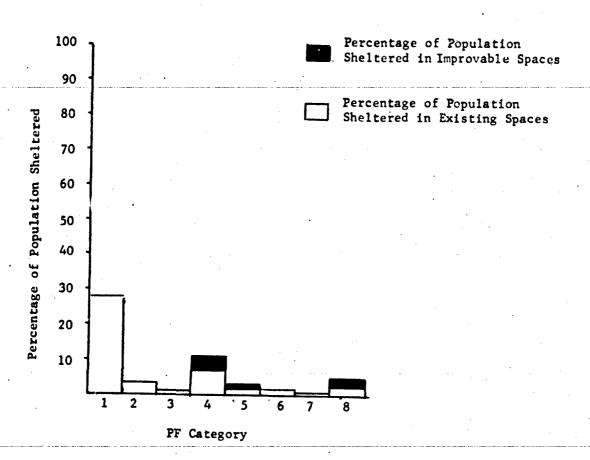
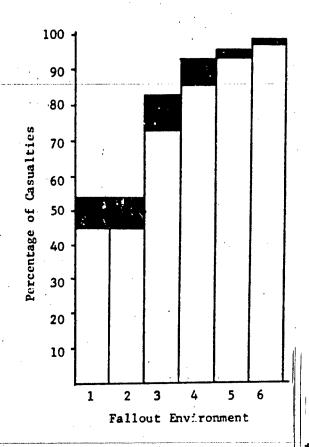


Fig. 16. Percentage of Population for Each PF Category in Mobile, Alabama (Total Population = 273,942)



Casualties Avoided by
Assuming Improvable
Spaces Improved and
Utilized

	Fallout Environment					
No.	<u>I (r/hr)</u>	TA (hr)				
1	600	5.5				
2	2000	1.5				
3	3000	1				
4	5000	1				
5	10000	1				
6	30 000	1				

* Excluding the SL Movement Restriction

Fig. 17. Percentage of Casualties for Each Fallout Environment in Mobile, Alabama (Total Population = 273,942)

Expected Casualties for Each Fallout
Environment in Mobile, Alabama

Fallout Environment		Expected Casualties (%)				
Reference Intensity (r/hr)	Arrival Time (hr)	Movement Restricted to own SL	Movement Restricted to 2 Miles	Constant Movement Speed	Movement Unrestricted	
600	5.5	78	54	54	54	
2000	1.5	78	54	54	54	
3000	1	91	83	83	83	
5000	1	96	. 93	93	93	
10000	1	97	96	96	96	
30000	1	99	99	99	99	

15 percent of the population can be sheltered in spaces having PF of at least 100. Improving existing spaces would provide shelter for an additional 9 percent of the population at a cost of \$170,000 or \$6.90 per space.

5. Macon, Georgia

Macon has very nearly the same shelter/population ratio, 0.50, as Mobile; however, the population density is much higher. The total population is 141,249, and the population density is 4650 people per square mile. Macon, like Mobile, has a shelter surplus near the population center of the SMSA and very few spaces outside a two-mile radius of the center (Figure 18, Cumulative Distribution of Population and Shelter in Macon, Georgia).

According to NFSS data, 50 percent of the population can be sheltered, and 20 percent of the population would have at least a PF of 100. An additional 14 percent of the population could be sheltered in improvable spaces. The cost of the improvements would be \$94,000 or \$4.75 per space.

The distribution of shelter spaces by PF category shows an increase in the fraction of spaces having a PF of 100 or better over SMSA's previously described (Figure 19, Percentage of Population Sheltered for Each PF Category in Macon, Georgia). As was the case for all previously examined SMSA's, the largest increase in casualties, for all movement restrictions—as shown in Table VIII, Expected Casualties for Each Fallout Environment in Macon, Georgia—occurs when

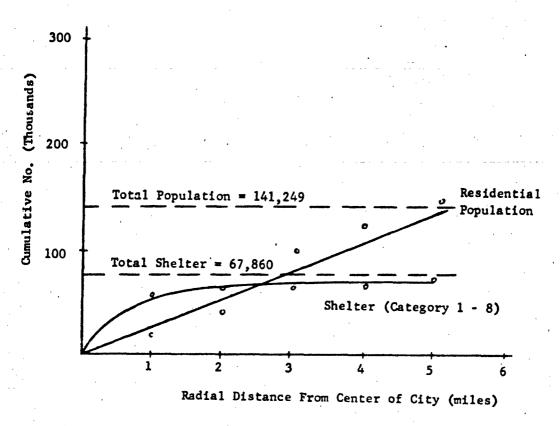


Fig. 18. Cumulative Distribution of Population and Shelter in Macon, Georgia

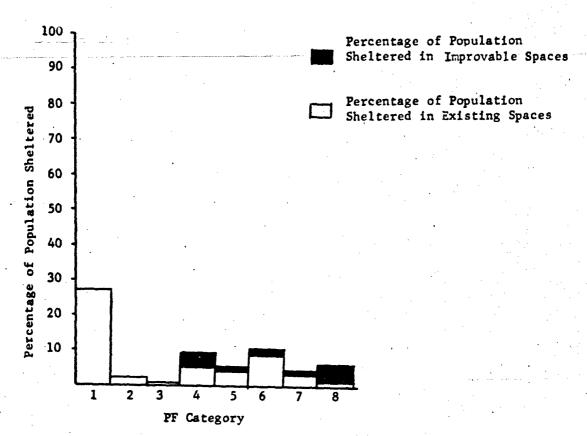
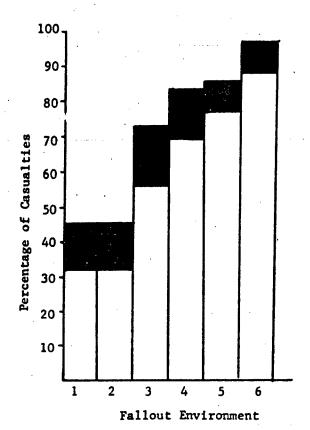


Fig. 19. Percentage of Population Sheltered for Each PF Category in Macon, Georgia (Total Population = 141,249)



Casualties Avoided by Assuming Improvable Spaces are Improved and Utilized.

No.	Fallost English	vironment TA (hr)
1	600	5.5
2	2000	1.5
3	3000	1
4	5000	1
5	10000	1
6	30000	1

Fig. 20. Percentage of Casualties for Each Fallout Environment in Macon, Georgia (Total Population = 141,249)

^{*} Excluding the SL Movement Restriction

Expected Casualties for Each Fallout
Environment in Macon, Georgia

Fallout Environment			Expected Casualties (%)			
Reference Intensity (r/hr)	Arrival Time (hr)	Movement Restricted to own SL	Movement Restricted to 2 Miles	Constant Movement Speed	Movement Unrestricted	
600	5.5	7.7	46	46	46	
2000	1.5	77	46	46	46	
3000	1	85	73	73	73	
5000	1	87	84	84	84	
10000	1	90	86	86	86	
30000	1	97	97	97	97	

the fallout reference intensity changes from 2000 r/h1 to 3000 r/hr. The reason for the sharp increase is due to the ineffectiveness of Category 1 spaces at 3000 r/hr. Of the total number of spaces available, more than half of them are Category 1 spaces. A characteristic of Macon not encountered in the previously considered SMSA's is the increase in casualties at the 30,000 r/hr fallout reference intensity. The SMSA's, previously considered, experienced rather constant marginal increases over the range from 3000 r/hr to 30,000 r/hr. Macon, however, has a relatively large number of Category 5 and 6 spaces (enough for 12 percent of the population) which are ineffective at reference intensity of 30,000 r/hr, thereby causing the increase in casualties when I = 30,000 r/hr.

6. Pittsfield, Massachusetts

Pittsfield has the smallest population in the sample (73,839), and the second smallest population density (1420 people/square mile); however, its shelter/population ratio is the third largest (0.66). A shelter surplus exists within a one-mile radius of the population center (Figure 21, Cumulative Distribution of Population and Shelter in Pittsfield, Massachusetts). It is also the only SMSA with enough improvable spaces to erase the shelter deficit. By using the improvable spaces, 47 percent of the population could be sheltered in spaces having a PF of at least 100. (Figure 22, Percentage of Population Sheltered for Each PF Category in Pittsfield, Massachusetts).

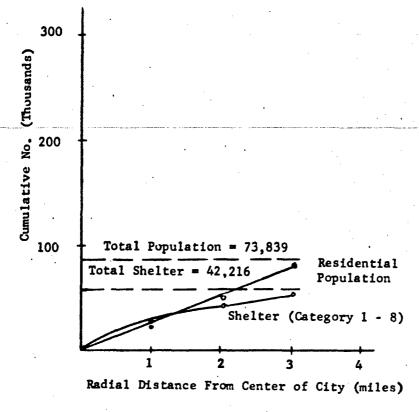


Fig. 21. Cumulative Distribution of Population and Shelter in Pittsfield, Massachusetts

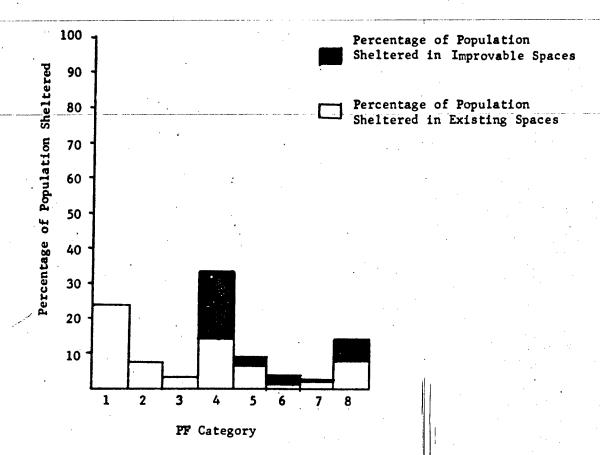
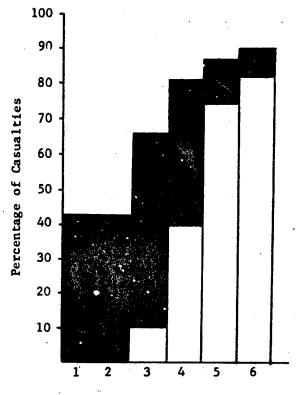


Fig. 22. Percentage of Population Sheltered for Each PF Category in Pittsfield, Massachusetts (Total Population = 73,839)



Casualties Avoided by
Assuming Improvable Spaces
are Improved and Utilized

No.	Fallout En	vironment T (hr)
1	. 600	 5.5
2	2000	1.5
3	3000	1
4	5000	1
5	10000	1
6	30000	1

Excluding the SL Movement Restriction

Fallout Environment

Fig. 23. Percentage of Casualties * for Each Fallout Environment in Pittsfield, Massachusetts (Total Population = 73,839)

As in all the other SMSA's, intense fallout environments produce a high casualty rate as shown in Table IX.

TABLE IX

Expected Casualties for Each Fallout
Environment in Pittsfield, Mass.

Fallout Environment		·	Expected Casualties (%)			
Reference Intensity (r/hr)	Arrival Time (hr)	Movement Restricted to own SL	Movement Restricted to 2 Miles	Constant Movement Speed	Movement Unrestricted	
600	5.5	64	43	43	43	
2000	1.5	64	43	43	43	
3000	1	72	66	66	66	
5000	. 1	81	81	81	81	
10000	1	87	87	87	87	
30000	1	90	90	90	90	

The variation in expected casualties is quite large when comparing conditions where some movement is allowed and those conditions where movement is restricted to the SL. For example, in the fallout environment which would be exceeded in only about 15-30 percent of the attacks (i.e., I = 2000 r/hr, T = 1.5 hrs), approximately 64 percent of the population become casualties if movement is restricted to within the SL while only 43 percent are casualties with any other movement restriction. The point being made is that casualty computations are sensitive to movement restrictions in the majority of expected fallout environments.

Thus far the sensitivity has been evident only when movement is restricted to the SL compared to movement outside the SL. In the remaining SMSA's, casualties become sensitive to all four movement restrictions.

7. Waterloo, Iowa

Waterloo is characterized by a shelter surplus over the entire SMSA (Figure 24, Cumulative Distribution of Population and Shelter in Waterloo, Iowa). The characteristics of Waterloo are: population--122,482; population density--2120; shelter/population ratio--1.10. Being fortunate enough to have enough spaces, however, also creates new problems. In all the previously discussed

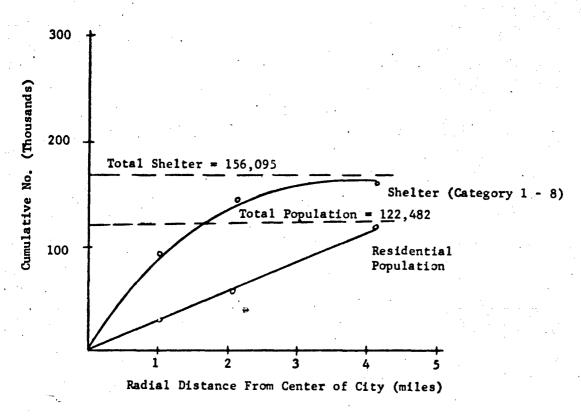
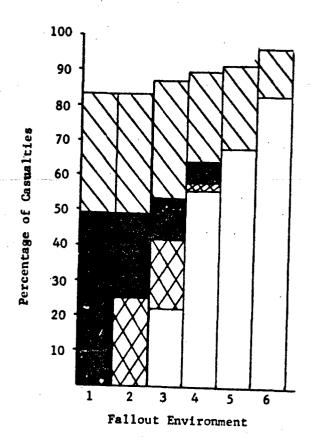


Fig. 24. Cumulative Distribution of Population and Shelter in Waterloo, Iowa



No.	Fallout Env I (r/hr)	/ironment T _A (hr)
1	600	5.5
2	2000	1.5
3	3000	1
4	5000	1
5	10000	1 .
6	30000	1

- No Movement Restriction, No Movement in Fallout.
- Casualties Added--Movement Restricted to 2 MPH, Movement in Fallout is Allowed.
- Casualties Added -- Movement Restricted to 2 Miles, No Movement in Fallout.
- Casualties Added--Movement Restricted to Own SL, No Movement in Fallout.

Fig. 25. Sensitivity of Casualty Calculations to Movement Restrictions---Waterloo, Iowa (Total Population = 122,482)

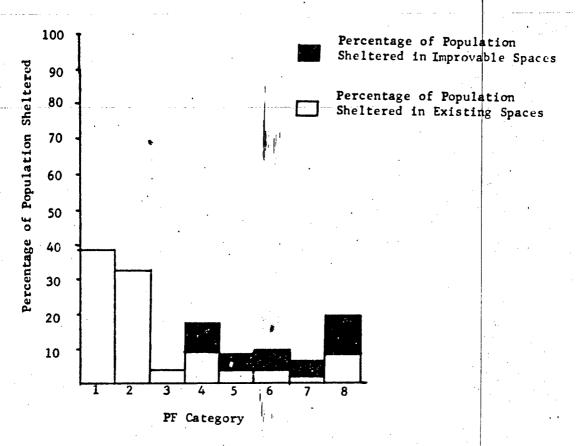
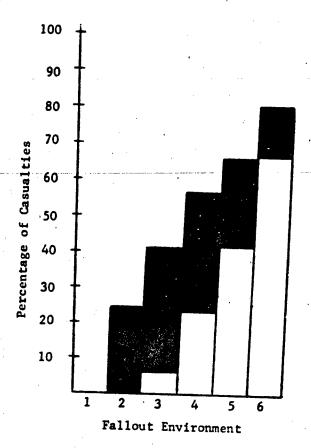


Fig. 26. Percentage of Population Sheltered for Each PF Category in Waterloo, Iowa (Total Population = 122,482)



Casualties Avoided by Assuming Improvable Spaces are Improved and Utilized.

No.	Fallout End	vironmen T _A (hr
1	600	5.5
2 .	2000	1.5
	3000	1
. 4	5000	1
5	10000	1
6	30000	1

Using Transportation Algorithm Solution

Fig. 27. Percentage of Casualties for Each Fallout Environment in Waterloo, Iowa (Total Population = 122,482)

SMSA's, the shelter deficit was such that there was no serious problem in utilizing all the spaces. In fact, the available shelter could be treated as if the population has unrestricted movement within the SMSA. In Waterloo, with its shelter surplus, the expected number of casualties is quite sensitive to any change in movement restrictions. For example, consider the results of the allocation model as shown in Table X. Only in the most severe fallout environments are the casualty calculations insensitive to the movement restrictions.

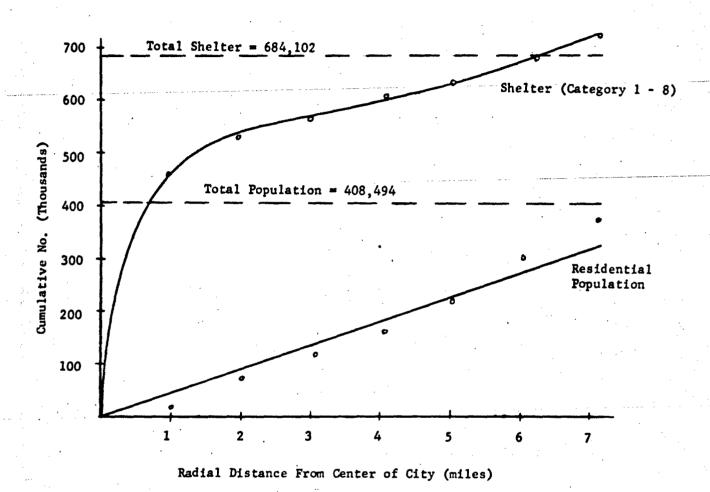
Expected Casualties for Each Fallout
Environment in Waterloo, Iowa

Fallout Environment			Expected Casualties (%)			
Reference Intensity (r/hr)	Arrival Time (hr)	Movement Restricted to own SL	Movement Restricted to 2 Miles	Constant Movement Speed	Movement Unrestricted	
600	. 5.5	83	49	0	o ·	
2000	1.5	83	49	25	0	
3000	1	87	54	. 42	22	
5000	1	89	64	58	57	
10000	1	91	68	68	68	
30000	1	96	83	83	83	

These same results are shown graphically in Figure 25, Sensitivity of Casualty Calculations to Movement Restrictions--Vaterloo, Iowa. Quite obviously, some movement is necessary in order to utilize shelters and avoid large numbers of casualties. As will be shown later (in Section V and Figure 31), because of the gradual buildup of fallout particles, some movement in a fallout field is permissible for reference intensities as high as 5000 r/hr. Restricting movement seriously degrades the effectiveness of existing shelter spaces.

8. Richmond, Virginia

This SMSA has the largest population (408,494) of all of the SMSA's in the sample. It also has a 60 percent shelter surplus, (Figure 28, Cumulative Distribution of Population and Shelter in Richmond, Virginia); and by incorporating shelter improvements, approximately 60 percent of the population could be sheltered in spaces having PF of at least 100. Here, as in Waterloo, casualty



Cumulative Distribution of Population and Shelter in

Fig. 28.

Richmond, Virginia

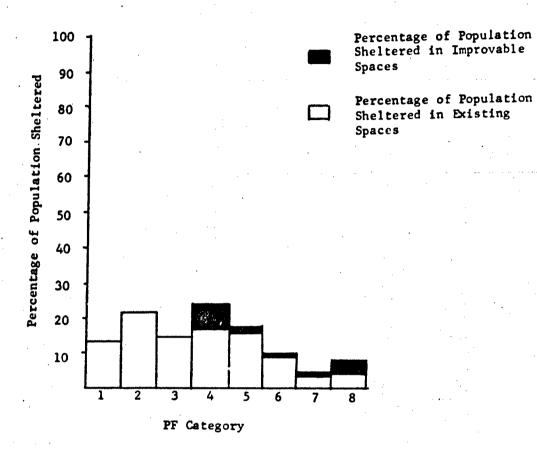


Fig. 29. Percentage of Population Sheltered for Each PF Category in Richmond, Virginia (Total Population = 408,494)

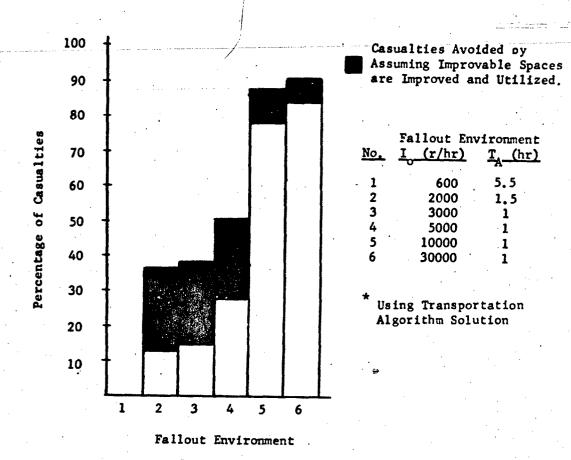


Fig. 30. Percentage of Casualties for Each Fallout Environment in Richmond, Virginia (Total Population = 408,494)

calculations are sensitive to proper shelter utilization. For example, consider a fallout environment, $I_{\rm o}$ = 3000 r/hr, $T_{\rm A}$ = 1 hour. If movement is restricted to within standard locations, the expected casualties will be 81 percent. However, if movement is unrestricted (i.e., assume strategic warning) there will be one percent casualties. Obviously, these are extreme examples. Using an optimum allocation plan, such that casualties are minimized subject to a movement speed restriction, would result in approximately 38 percent casualties. Using the two-mile restriction, which is similar to the optimal plan except that it allows no movement in fallout, would result in 68 percent casualties—a 30 percent increase. The significance of limited movement in fallout is quite evident. The expected casualties at various other fallout environments are shown in Table XI.

TABLE XI

Expected Casualties for Each Fallout
Environment in Richmond, Virginia

Fallout Environment		·	Expected Casualties (%)			
Reference Intensity (r/hr)	Arrival Time (hr)	Movement Restricted to own SL	Movement Restricted to 2 Miles	Constant Movement Speed	Movement Unrestricted	
600	5.5	66	47	.0	0	
2000	1.5	66	47	36	0	
3000	1	81	68	38	1	
5000	1	88	81	50	45	
10000	1	90	88	87	70	
30000	. 1	93	91	90	9 0	

V. DATA ANALYSIS

1. The Relationship Between Time in Fallout and Protection Factors

An analysis of ERD shows interesting trade-offs between time in fallout and the protection factor of the shelter that is entered. Even when the reference intensity is 5000 r/hr and the time of fallout arrival is only one hour, considerable time in fallout can be compensated for by a relatively small increase in the protection factor of the shelter eventually entered. For example, a person traveling 15 minutes in such a fallout field (at 2 mph) and reaching a fallout shelter with a PF

of 91 would sustain no more dose than a person who was in a shelter with a PF of 70 at the time fallout commenced. Of course, this type of comparison considers only gamma radiation. Other types of radiation might also have to be taken into consideration, but their effect should be negligible if inhalation of particles is avoided.

Figure 31, Trade-Off Between PF and Time in Fallout, shows the trade-offs of PF and time in fallout for the cases where the reference intensities are 5000 r/hr, 3000 r/hr, and 2000 r/hr. The latter has an associated time of arrival of 1.5 hours; the others have a time of arrival of one hour. The curves are based on a buildup time of twice the time of arrival and a linear rate of intensity buildup.

In the case of reference intensity of 10,000 r/hr, there is little opportunity for trade-off between PF and travel in fallout. A person unsheltered in such an environment would receive a dose greater than 200r ERD if he were outside as much as ten minutes, regardless of the type of shelter he eventually entered.

B. Relationship Between Reference Intensity, Protection Factor, and Maximum ERD

The ERD calculations also provide a means for comparing reference intensity, protection factor, and Maximum ERD. Figure 32, Trade-Offs Between PF and Fallout Environment, shows these relationships for the reference intensities considered in this volume. The dashed lines show the effect of times of arrival of more than one hour.

VI. CONCLUSIONS AND RECOMMENDATIONS

A. Discussion

Shelter utilization analyses necessarily include assumptions concerning variables that are difficult to measure. These variables affect utilization. Among the more significant variables are:

- 1. Knowledge on the part of people about the shelter which they should use (shelter assignment plan, discipline, crowd control, etc.).
- Time required to reach shelter (warning time, start-up time, travel time, searching time, interference of traffic flow, etc.).
- Distance to shelter (influences both time and knowledge, for the knowledge may be less if distances are long).

In a complete analysis, relations among these three and other variables must be derived (for they are obviously not independent), and these in turn related to the shelter utilization pattern.

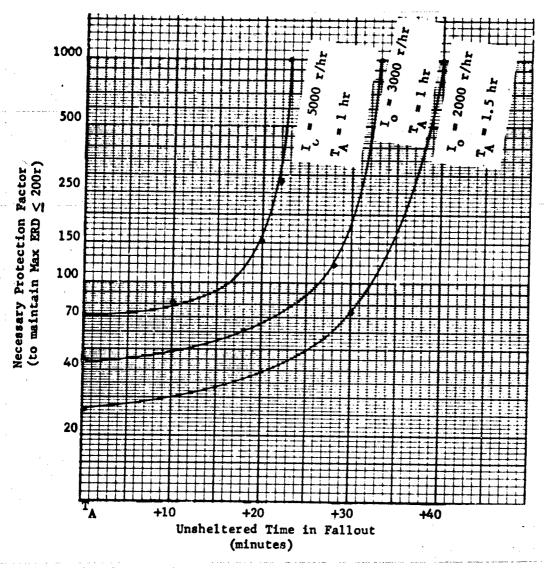
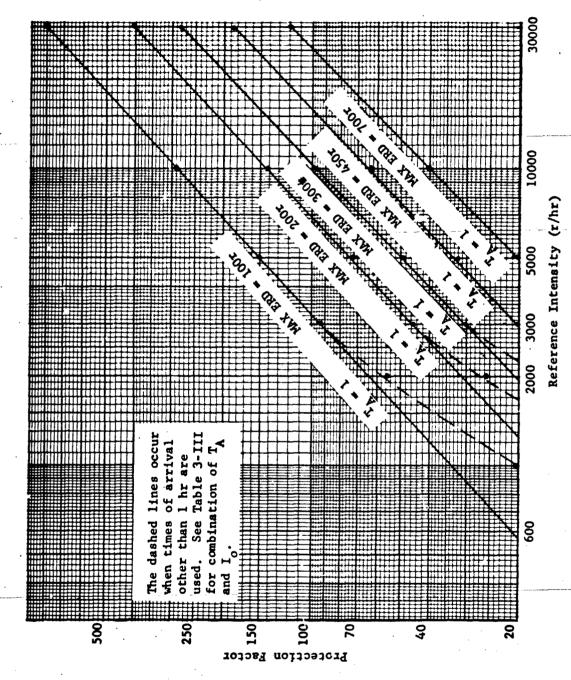


Fig. 31. Trade-Off Between PF and Time in Fallout



Trade-Off Between PF and Fallout Environment (No Movement in Fallout) Fig. 32.

In the analyses reported here, knowledge, time, and distance to the shelter were introduced in a semi-quantitative way by varying "movement restrictions" as follows:

1. Movement Restricted to Own SL

Knowledge very great, and time and distance allowance great enough to allow best shelter utilization within one's own SL.

2. Movement Restricted to 2 Miles From Own SL

Knowledge very great, and time and distance allowance great enough to allow best utilization of any shelter within one's Standard Location (SL), or a SL not more than two miles away (rectangular distance).

3. Movement Unrestricted Within SMSA

Knowledge very great, and time allowance great enough to allow best shelter utilization, at long distances anywhere in the SMSA.

4. Optimum Shelter Utilization (Transportation Algorithm)

Knowledge exact, to allow optimal shelter utilization (minimum casualties) anywhere within the SMSA, including a prior calculation of dose received during transit in fallout and in the primary shelter, all before or during fallout arrival. Speed was restricted to 2 mph.

We have a mathematically optimum solution in Movement Restriction 4. A similar shelter utilization pattern could be generated by other assumptions, operationally nore realistic--as seen in Table XII, Summary of Casualty Estimated (% of Population) for Reference Intensity of 2000 r/hr and Time of Arrival of 1.5 Hours--for unrestricted novement, for example. For more severe environments, the two casualty figures (hence helter utilization) become identical. This case and unrestricted movement offer a menchmark of ideality against which to measure other shelter utilization patterns.

Major Conclusions

Efficient shelter utilization appears to be a critical problem when the shelter paces exceed population; inefficient utilization can lead to a <u>large</u> number of <u>voidable</u> casualties. This was concluded from the observation of the rapid increase n casualties for a specified attack environment as one restricts movement progresively from the SMSA down to the SL in which the shelteree finds himself at the time f warning. For the most shelter-abundant cities (Waterloo and Richmond in Table II), the percentage of casualties under a 2000 r/hr fallout environment is

progressively reduced from a two-city average ranging between 75% and 30% for limited movement (SL and Constant Movement Restriction in Table XII) to zero for movement unrestricted within the SMSA.

TABLE XII

Summary of Casualty Estimates (% of Population) for Reference Intensity of 2000 r/hr and Time of Arrival of 1.5 Hours

SMSA	Shelter/	Movement	Constant Movement	Movement
	Population	Restricted	Speed of 2 mph	Unrestricted
• .	Ratio (%);	To Own SL;	Restriction	Within SMSA;
	Categories	Movement	Movement Permitted	Movement
	1 and	Completed	in Fallout (Trans-	Completed
•	Better	Before	portation	Before
,		Fallout	Algorithm)	Fallout
Orlando	20	94	78	78
Tucson	24	89	74	74
Charleston .	33	83	70	70
Mobile	48	78	54	54
Macon	50	• 77	46	46
Pittsfield	66	64	43	43
Waterloo	110	83	25	0
Richmond	160	66	36	0

For the most shelter-poor cities (Orlando and Tucson), the corresponding reduction is from a two-city average of 91% casualties to a two-city average of 76% casualties, a feasible savings of 15% of the population who would otherwise be casualties. This is to be compared to the 75% savings to the more shelter-abundant cities. The lack of sensitivity to movement restrictions in shelter-poor cities generally is due to the fact that there are enough people in any standard location to completely utilize available shelter in that SL. Thus, even the most restrictive shelter utilization constraint leads to good utilization.

Within broad limits, the higher the ratio of shelter is to population, the greater is the payoff in casualties saved by shelter utilization planning.

Insofar as movement distance restrictions are derived from time available for sheltering, one can deduce the substantial payoff potential in effective warning means, shelter planning, and indoctrination of the population--particularly in shelter-abundant areas.

C. Secondary Conclusions

1. Movement in fallout to a better shelter theoretically is warranted in many circumstances. The quantitative trade-offs between movement time in

fallout and protection factor of the shelter are shown in Figure 31. The feasibility of applying this principle in the transattack environment is questionable, however. It is a special case of remedial movement, but with a very short decision time and a changing environment.

2. Neither city size nor population density (one of the city selection criteria), which might be supposed to have an effect on juxtaposition of people and shelter, could be shown to be significant in this analysis. This is concluded from examining the difference in casualties in each attack environment, between Movement Restriction 4, (movement in fallout, but limited movement speed), and Restriction 3 (complete freedom) as a function of city size and population density. Small effects which likely exist either are swamped by the more critical shelter/population ratio, or are undetectable from the "noise" of variable PF distributions in cities otherwise similar (size and population density). The casualties differ between Restrictions 3 and 4 only for Waterloo (low density, small size) and Richmond (high density, large size) at the intermediate attack environments.

Recommendations

This analysis has shown the critical sensitivity of casualty estimates to shelter utilization, and therefore, to the corresponding movement-to-shelter assumptions, particularly for shelter-abundant areas. Accordingly, further research is recommended to give a more quantitative insight into the problem of movement-to-shelter (time, training and discipline, and distance to move). Better understanding can lead to more effective operational plans and programs in terms of preattack shelter assignment planning, warning requirements, training, etc.

REFERENCES FOR VOLUME II

- [1] National Bureau of Standards. National Fallout Shelter Survey--Phase I, Summary A. Washington: U. S. Government Printing Office, 1962.
- [2] Russell L. Ackoff. <u>Scientific Method</u>. New York: John Wiley & Sons, Inc., 1962, pp. 232-233.
- [3] U. S. Bureau of the Census. <u>National Location Code: OCD-OEP Regions 1, 2, 3, 6, 7</u>. Report to OCD and OEP, Washington, D. C., 1962.
- [4] Linnea G. Lauré and Irving E. Gaskill. <u>Risk II: NDAC Vulnerability Analysis</u>

 <u>Program Model II.</u> EMCD Technical Manual No. 97, National Damage Assessment

 Center, August 1960.
- [5] Philip B. McGill. An Examination of Bureau of Census Residential Basement

 Data. Research Memorandum RM-82-9. Durham, North Carolina: Research Triangle
 Institute, May 10, 1963.
- [6] Office of Civil Defense, Department of Defense. <u>National Fallout Shelter</u>
 <u>Survey: Phase 2--Reports, Formats and Descriptions of Contents.</u> Washington:
 Office of Civil Defense, November 7, 1962.

Appendix A

Development of Probabilistic Fallout Environments

I. INTRODUCTION

A. Cumulative Distributions Mathematically Defined

Given a simulated nuclear attack, the pattern of the attack is used to compute, on a probability basis, the severity of the fallout environment at each of 200 SMSA's throughout the U.S. One-hundred attack patterns comprise one RISK attack. Thus for one RISK attack, each SMSA will be subjected to 100 possible fallout environments.

Let I $_{\rm O}$ and T $_{\rm A}$ dnote the Reference Intensity and Time of Arrival, respectively. Each component is divided into subgroups:

A similar distribution is derived for time of arrival $T_{\rm A}$:

i	ı	T _A
	(r/hr)	(hr)
1	0-100	0-1
2	101-300	1-2
3	301-1000	2-3
4 .	1001-3000	3-4
5	3001-10000	4-8
6	10001-30000	8-12

If X_{ij} denotes the number of times I_o occurs in the i^{th} interval for the j^{th} SMSA then the probability of occurrence for the i^{th} interval is estimated by

$$P(\bar{X}_{i}) = \frac{\sum_{j=1}^{\Sigma} X_{ij}}{200} \cdot f, \quad j=1, \dots, 6$$
(A-1)

where f represents the number of attack patterns. Similarly, if Y_{ij} denotes the number of occurrences of T_A in the i^{th} interval for the j^{th} SMSA, we have

$$P(\overline{Y}_i) = \frac{j=1}{200} \cdot f, i=1, ..., 6$$
 (A-2)

The discrete cumulative distribution function for each variable is developed by summing the individual probabilities.

The Population to Shelter Algorithm

I. INTRODUCTION

A. Expression of Content

This appendix describes in detail the model used to estimate casualties within an SMSA. Also included is the computer program used in calculating the Maximum ERD associated with movement between standard locations.

Development of a Mathematical Model to Calculate Maximum Equivalent Residual Dose (Stage 1)

The Model and Program described in this section is a modified version of the Mainline Model and Program discussed in Appendix of Volume III, "A Generalized Sensitivity Analysis of CD Systems." The greatest difference between this algorithm and the Mainline Model of Appendix B of Volume III is in the input/output part of the program. By necessity each being oriented to a different research objective.

The equation describing ERD at t hours is the sum of the reparable and irreparable dose over finite time increments.

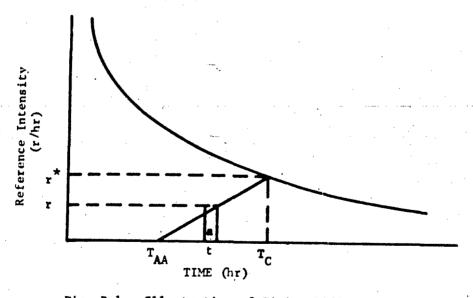


Fig. B-1. Illustration of Finite Different Formulation

 T_{A} and T_{C} denote the time of arrival and time of cessation of fallout respectively.

Let D_{p}^{\bigstar} represent the reparable dose, and $D_{p}^{\bigstar \bigstar}$ the irreparable dose for the p^{th} interval. Then

$$D_p^* = [1 - (a)(b)] D_{p-1}^* + (1-f) \frac{(a)(r)}{PF}$$
 (B-1)

$$D_p^{**} = D_{p-1}^{**} + (f)(r)(a)$$
 (B-2)

where

- (1) a is the constant time increment in hours.
- (2) b is the recovery rate (0.1%/hr.).
- (3) f is the nonreparable part of the dose.
- (4) PF is the protection factor for the shelter used.

And:

$$r = \frac{r^*(t - a/2 - T_A)}{T_A}$$
, $T_A \le t \le T_c$ (B-3)

$$T_c = 2T_A$$

$$r = a t^{-1.2}$$
 , $t > T_c$. (B-4)

The ERD for the pth time increment is

$$D_{p} = (D_{p}^{*} + D_{p}^{**}) I_{o}$$
, (B-5)

and the Maximum ERD occurs when $D_p > D_{p+1}$

The previous derivation is based on the assumption that a person enters a shelter with protection PF before fallout arrives; i.e., if the time required to move from standard location i to j is $\mathbf{T_{ij}}$, then the assumption is that $\mathbf{T_{ij}} \leq \mathbf{T_A}$. If $\mathbf{T_{ij}} > \mathbf{T_A}$, then in Equation B-1, PF must equal 1 for $\mathbf{T_{ij}} > \mathbf{t}$. When $\mathbf{T_{ij}}$ becomes less than or equal to t , $(\mathbf{T_{ij}} \leq \mathbf{t})$ the appropriate PF is employed and the original derivation is valid.

The computer program for Stage 1 is described in Sections 3, 4, 5 of this Appendix.

2. The Assignment Model (Stage 2)

The assignment model is based on a linear programming technique called the product of the cost of shipping a homogeneous product manufactured in "n" mills, which in a different geographical location, and shipped to consumers at "m" different destinations. The analogy is made that people represent the product located in "n" standard locations and fallout shelter spaces represent the warehouse capacities at "m" standard locations. The cost variable is the equivalent residual dose received if one moves from standard location i to standard location j where j has a protection factor of k.

Symbolically, the model formulation is:

Let siik represent the ERD defined previously:

$$s_{ijk} = f(R,T_A,V,S_{ij},PF_k)$$
 (B-6)

 $R \sim fallout reference intensity (r/hr)$

 $T_A \sim arrival time of fallout (hr)$

V ~ movement speed (mi/hr)

 $S_{ij} \sim \text{distance from SL } i \text{ to SL } j \text{ (mi)}$ $PF_{i} \sim \text{protection factor.}$

The model which calculates s_{ijk} is defined in Section A.1. Then assume the ERD obtained in moving from any SL i (i = 1, ..., n) to any SL j (j=1, ..., m) with a protection factor category k (k = 1, ..., 8) is known. Thus, we have a 3-dimensional matrix (n x m x 8) with each element representing a "cost" or Maximum ERD. To simplify the matrix, let jk represent the jth destination and kth protection category. What this means is that each protection category is treated as a separate and distinct destination, where

$$jk = 8(j-1) + k$$
 (B-7)

For example, let the cost matrix be represented by D and let $s_{3,5,8}$ represent the Maximum ERD for a person leaving standard location 3, moving to standard location 5, and entering a protection factor category 8. The element in D corresponding to $s_{3,5,8}$ is $s_{i(jk)}$ where

$$i = 3$$

 $jk = 8(5-1) + 8 = 40$. (B-8)

Therefore,

$$s_{3,5,8} \equiv s_{3,40}$$
 and $s_{3,40} \sim D$.

To summarize briefly the derivation of the cost matrix:

- (a) The maximum ERD is calculated in Stage 1 of the model for each element of a 3-dimensional matrix where sijk represents each element in the matrix.
- (b) In order to fit the 2-dimensional cost matrix scheme of the transportation algorithm, a linear transformation was performed on each element whereby sijk became si(jk).

With the cost matrix in the desired form, the constraint equations and objective function may be defined:

(a) The population allocated from origin i to destination $jk(X_{i(jk)})$ must not exceed the initial population at the origin, A_i .

$$\Sigma \times_{i}^{S}(jk) \leq A_{i}, (i = 1, ..., n)$$
 (B-9)

$$x_{i(jk)} \ge 0$$
.

(b) The population sheltered at destination jk must not exceed the shelter capacity at the destination, $B_{(jk)}$.

$$\sum_{i=1}^{n} x_{i(jk)} \le B_{(jk)}, \quad (jk = 1, ..., 8m)$$
 (B-10)

$$x_{i(ik)} \geq 0$$

(c) The objective function to be minimized is the number of casualties, subject to the previously defined population and shelter space constraints.

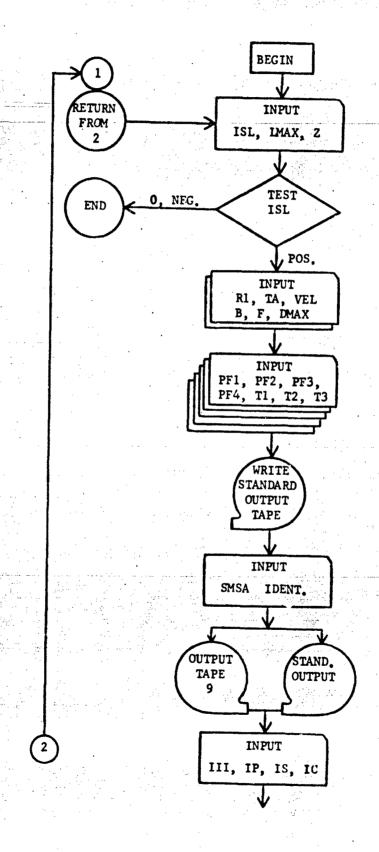
$$\min_{\mathbf{z}} \mathbf{z} = \sum_{i=1}^{n} \sum_{j,k=1}^{n} c_{i(jk)} \mathbf{x}_{i(jk)}$$

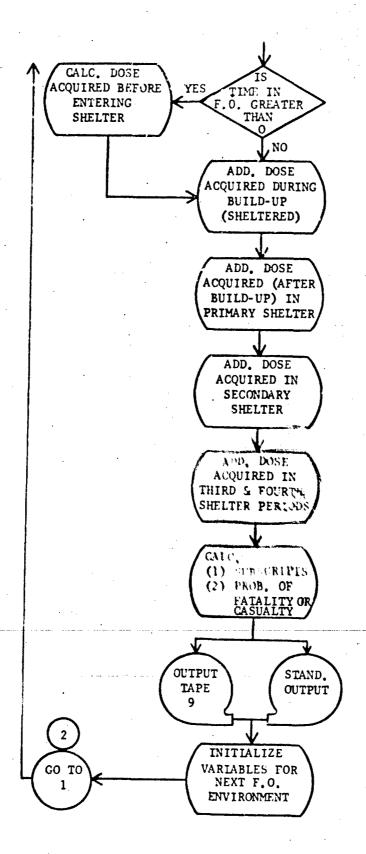
$$c_{i(jk)} = \begin{cases} 0, d_{i(jk)} \le 200r \\ 1, d_{i(jk)} > 200r \end{cases}$$
 (B-11)

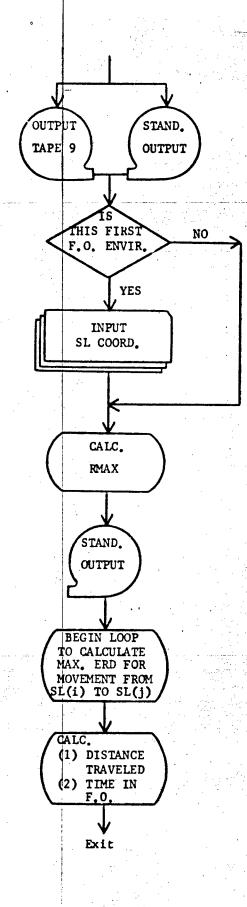
3. Glossary of Terms for Computer Frogram

Number of standard locations in the SMSA
Maximum number of PF categories
Radiation decay constant
Reference intensity
Arrival time of fallout
Movement speed
Recovery rate
Recoverable fraction
Maximum acceptable ERD
Primary PF
Secondary PF
Equivalent PF in third shelter period
Equivalent PF in fourth shelter period
Time in primary shelter
Time in secondary shelter
Time in third shelter
SMSA code
Number of populated standard locations
Number of standard locations with shelter spaces
IS x IP
Peak reference intensity of buildup function
Reparable portion of total dose
Irreparable portion of total dose
Equivalent Residual dose (ERD)
Maximum ERD
Cumulative time since time of arrival
PF category.

4. Flow Charts for Computer Program







5. Fortran Listing 76655 ISS9XI 1056 RCONEY SINK RII-OCD SECTION 1 - CALCULATION OF MOVEMENT TIMES DIMENSION X(100),Y(100),C(10),D1(10),C2(10),CP(10),TM(10) DIMENSION PF1(10), PF2(10), S(1000), JRSAC(100) DIMENSION DOP(40.40.8). TERM(40.40) .P(100) REWINC 9 IGNORF = C CCNTINUE 11=1 REAC INPUT TAPE 5.301. ISL. LMAX. 7 IF(ISL)714,714,2 READ INPUT TAPE 5.5C1.R1.TA.VEL REAC INPUT TAPE 5.502.8.F.DMAX 1C CONTINUE READ INPUT TAPE 5.4.PFI(1),PF1(2).PF1(3).PF1(4),PF1(5) READ INPUT TAPE 5,4,PF1(6),PF1(7),PF1(8),PF1(9),PF1(1C) REAC INPUT TAPE 5,4,PF2(1),PF2(2),PF2(3),PF2(4),PF2(5) REAC INPUT TAPE 5,4,PF2(6),PF2(7),PF2(8),PF2(9),PF2(1C) READ INPUT TAPE 5,4,PF3,PF4,T1,T2,T3 301 FCRMAT(215.F10.2) 501 FCRMAT (3F10.2) 502 FORMAT (3F10.5) 4 FCRMAT (SF1C.2) WRITE CUTPUT TAPE 6,8C1,R1,TA,VEL,PF1(1) 8C1 FCRMAT (4F12.2) REAC INPUT TAPE 5,707 707 FCRMAT(4CH1 WRITE CUTPUT TAPE 9.707 WRITE CUTPUT TAPE 6,707 READ INPUT TAPE 5,705,111,1P,15.10 WRITE CUTPUT TAPE 6,706, IP, IS, IC WRITE CUTPUT TAPE 9,706, IP, IS, IC 7C5 FORMAT(17,113,110,110) 7C6 FCRMAT(7HC211113113,110,11C) IF(IGNERE)7C61,7C61,7C62 7061 DC 703 M=1.ISL REAC INPUT TAPE 5,701, JRSAC(M), X(M), Y(M) FCRMAT(18,F12.C,F10.0) 7C3 CONTINUE 7062 CENTINUE $RMAX = \{2.0*TA\}**(-Z)$ 7C8 CONTINUE WRITE CUTPUT TAPE 6.8CC

DC 305 I=1, ISL DC 305 J=I.ISL 5C9 DC = C D11 = C D22 = C TMM = C DPP = C T = (SCRTF((X(I)-X(J))**2)+SQRTF((Y(I)-Y(J))**2)1/YEL T = T*0.662

PRCB

MAX ERD

TIME

590 CONTINUE 5C4 CONTINUE 568 CONTINUE

FCRMAT (55H1

```
T . T-TA
     <u>SECTION I - CALCULATION OF MOVEMENT TIMES</u>
     IF (T) 5C7, 5C8, 508
 507 T = 0.
5C8 CENTINUE
     IF(T) 599,599,510
 510 \text{ TMM} = \text{TMM} + 0.1
     RM = (RMAX + (TMM - C.C5)/TA) + C.1
     IF((RM+10.)-RMAX)515,515,514
514
      \Delta 1 = TMM + (1.-2)
     A2=(TMM--.1) ++ (1.-2)
     RM = (A1 - A2)/(1.-2)
     D11 = ((1.0-0.1*B)*C11)+((1.0-F)*RM)
     D22 = D22+(F*RM)
     CC = \{D11+D22\} \cdot R1
     IF(DD-DPP) 513,511,511
 511 DPP = CC
      IF(DPP-DMAX)513,599,599
 513 IF(TMM-(T-0.05)) 510,599,599
599 CONTINUE
     SECTION III
     CENTINUE
     DC 101 L = 1, LMAX
     D(L) = CD
     D1(L) = D11
     D2(L) = D22
     DP(L) = DPP
     TM(L) = TMM
     IF(CP(L)-DMAX)19,999,999
   IF(TMM-TA) 20,30,30
 20 TM(L) = TM(L)+0.5
     RML = (RMAX+((TM(L)-C.25)/TA))+0.5
     D1(L) = (1.6-8/2.6) \cdot D1(L) + (1.6-F) \cdot RML/PF1(L)
     D2(L) = D2(L)+F+RML/PF1(L)
     D(L) = R1*(C1(L)+D2(L))
     IF(D(L)-DP(L)) 22,22,21
     TERM(I,J)=TM(L)
     DP(L) = D(L)
     IF(DP(L)-700.)22,999,999
 22 TAL = TA-0.25
     IF(TM(L)-TAL)2C,3C,30
 30 \text{ TM(L)} = \text{TM(L)} +6.0
     A1 = TM(L) \bullet \bullet (1 \bullet 0 - Z)
     A2 = (TM(L')-6.C) + (1.C-Z)
     RPL = (A1-A2)/(1.C-2)
     D1(L) = (1.C-6.+B)+D1(L)+(1.C-F)+RML/PF1(L)
     D2(L) = D2(L)+F*RML/PF1(L)
    D(L) = R1*(D1(L)*D2(L))
     1F(C(L)-DP(L))32.32.31
    TERM(I,J)=TM(L)
    \frac{DP(L)}{IF(CP(L)-700.)32,999,999}
 32 [F[TM(L)-T1)3C,40,40
 40 CONTINUE
4C1 TM(L) = TM(L)+24.C
     A1 = TM(L) - (1.C-Z)
     A2 = (TM(L)-6.0) \bullet (1.0-2)
    RML = \{A1-A2\}/\{1.0-Z\}
     SECTION 1 - CALCULATION OF MOVEMENT TIMES
```

```
D1(L) = (1.C-24.Q*B)*D1(L)
        D1(L) = D1(L) + ((1.0-F) + RML)/PF2(L)
        D2(L) = D2(L)+F*RML/PF2(L)
        D(L) = RI \bullet (DI(L) + C2(L))
        IF(D(L)-DP(L))42.42.41
        TERM(I,J)=TM(L)
        P(L) = D(L)
        IF(DP(L)-700.)42.999.999
     42 \text{ TAL} = \text{T1+T2}
        IF(TM(L)-TAL) 401,49,49
    49 IF(T3)999,999,50
     5C TM(L) = TM(L)+168.0
        A1 = TM(L) **(1.G-Z)
        A2 = (TM(L)-6.0) **(1.C-Z)
        RML = .(A1-A2)/(1.C-2)
        D1(L) = (1.C-168.C+8)+D1(L)+(1.C-F)+RML/PF3
       D2(L) = D2(L) + F + RML/PF3
       D(L) = R1 \cdot (D1(L) + C2(L))
        IF(C(L)-QP(L))52,52,51
   51 -TERM(1, J)=TM(1)
       DP(L) = D(L)
        IF(CP(L)-700.)52,595,999
    52 TAL = T1+T2+T3
       IF(TM(L)-TAL)50,59,59
       CONTINUE.
    60 TM(L) = TM(L)+720.
       A1 = TM(L) **(1.C-Z)
       A2 = \{TM(L)-6.0\} \bullet \bullet (1.C-2)
       RML = \{A1-A2\}/\{1.C-Z\}
       D1(L) = (1.0-720.C*B)*D1(L)*(1.C-F)*R*L/PF4
       1)2(L) = D2(L)+F*RML/PF4
       D(L) = R1*(C1(L)+D2(L))
       IF(D(L)-DP(L))62,62,61
       TERM(I,J)=TM(L)
   61
       DP(L) = D(L)
       IF(DP(L)-70C.)62,999,999
      CONTINUE
       GC TO 60
       DCP(I,J,L)=DP(L)
 999
  101 CONTINUE
  305 CONTINUE
       DC. 90 J=1.1SL
       DC 90 1=J.ISL
       DO 90 L=1.LMAX
       DCP(I,J,L) = DDP(J,I,L)
       TFRM(I,J) = TFRM(J,I)
      CENTINUE
       DC 91 I=1.ISL
         91 J=1,1SL
       DC
       DC 91 L=1,LMAX
      JJ=(J+LMAX)-(LMAX-L)
      PROB=(DCP(I,J,L)-20C.)/50C.
      IF (PRCB) 93.94.94
  93
      NPRCB=C
      GC TO 95
       IF(PRC8-1.)942,941,941
      SECTION I - CALCULATION OF MUVEMENT TIMES
     NPRCB = 1000
941
```

```
GC TO 95
      NPRCB=PROB + 10CC.
      WRITE OUTPUT TAPE 6,709,1,J,L,JJ,NPROB,DDP(1,J,L),TERP(1,J)
      WRITE DUTPUT TAPE 9,720,1,JJ,NPROP
 705
      FCRMAT(1CH
                           415,110,2F1C.3)
 72C
      FCRMAT(10HB
                           15,15,110)
      CCNTINUE
      WRITE CUTPUT TAPE 6,710
      WRITE OUTPUT TAPE 9,710
 710
      FCRMAT (10HB
      N=1
      DO 750 M=1.1SL
      IF(IGNORE)7101,7101,7102
7101 CENTINUE
      READ INPUT TAPE 5,751,P(M),S(N),S(N+1),S(N+2),S(N+3),S(N+4),
     1 S(N+5), S(N+6), S(N+7), S(N+8), S(N+9)
7102
      CONTINUE
      N=N+LMAX
      KP=P(M)
       WRITE CUTPUT TAPE 6.752, M, KP
       WRITE GUTPUT TAPE 9.752.M.KP
      FCRMAT(F1G.C.1CF5.0)
752
      FORMAT (15HS
                                15.11C)
75C
       CONTINUE
      WRITE CUTPUT TAPE 6,753
      WRITE OUTPUT TAPE 9,753
753
      FCRMAT(11HS
      K=LMAX+ISL
     DC 754 N=1,K
      KS=XFIXF(S(N))
     WRITE OUTPUT TAPE 6,755,N.KS
     WRITE CUTPUT TAPE 9,755,N,KS
755 FCRMAT(15HD
                                15.11C)
754
     CCNTINUE
     WRITE CUTPUT TAPE 6,756
     WRITE CUTPUT TAPE 9,756
     FCRMAT(11HD
                         • )
     T4=T4+1.
     II = II
     DO 759 I=1, ISL
     DC 759 J=1.ISL
     DC 759 L=1,LMAX
     DCP(I, J, L) = C.
759 TERM(1,J)=0.
     IGNORE = 1
     GC TO 1
 714 CONTINUE
     WRITE CUTPUT TAPE 6,757
     WRITE OUTPUT TAPE 9.757
                  END CF PROBLEM 1
757
     FORMAT (17H
     WRITE OUTPUT TAPE 6,758
     WRITE OUTPUT TAPE 9,758
     FCRMAT(1HC)
     END FILE 9
     REWING 9
   SECTION I - CALCULATION OF MOVEMENT TIMES
     WRITE OUTPUT TAPE 4.713
 713 FORMAT (18H PROBLEM COMPLETED
    CALL EXIT
```